

| QUALITY ASSESSMENT GRID                         |            | SPF Umbrella Project Proposal - SKHU/1704/ |
|---|------------|--|
| Identification number of the Call for proposals | SKHU/1704/ |  |
| Name of the assessor                            |            |  |
| Signature of the assessor                       |            |  |
| Date of assessment                              |            |  |

| I. STRATEGIC EVALUATION   |           |          |                           |
|---|-----------|----------|---------------------------|
| Criterion   | Max.      | Score    | Justification/Explanation |
| <b>Project environment and coherence</b>  | <b>9</b>  | <b>0</b> |                           |
| Rules of Procedures of the Monitoring Subcommittee is in line with the requirements of the Call, follows the predefined structure, it is well designed, logical, coherent and serve the sound implementation of the SPF and contribute to the relevant results that stakeholders seek to achieve. | 0-3       | 0        |                           |
| Assessment Manual is in line with the requirements of the Call, follow the predefined structure, it is well designed, logical, coherent and serve the sound implementation of the SPF and contribute to the relevant results that stakeholders seek to achieve.                                   | 0-3       | 0        |                           |
| Joint Implementation Plan is in line with the requirements of the Call, follow the predefined structure, it is well designed, logical, coherent and serve the sound implementation of the SPF and contribute to the relevant results that stakeholders seek to achieve.                           | 0-3       | 0        |                           |
| <b>Relevance</b>  | <b>12</b> | <b>0</b> |                           |
| Organizations proposed to be involved in the Monitoring Subcommittee (MSC) membership have professional relevance and competence in fulfillment of the MSC's role and objectives.   | 0-3       | 0        |                           |
| The method of operation of the MSC, decision making process and organization of its meetings presented in Rules of Procedures is well developed, logical, feasible and lead to the succesful fulfillment of its role within the implementation of the SPF.  | 0-3       | 0        |                           |

| QUALITY ASSESSMENT GRID  |           | SPF Umbrella Project Proposal - SKHU/1704/ |  |
|--|-----------|--|--|
| The methodology of assessment, description of evaluation steps and selection process of the small project proposals described in Assessment manual is well developed, adequate and lead to objective and consistent approach of all actors involved in the small project selection process.  | 0-3       | 0  |  |
| Eligible activities to be carried out by small projects presented in Joint Implementation Plan are in line with the needs of the border region, these needs occur on both sides of the border and are in line with the specific objective of the Priority Axes relevant for the SPF.   | 0-3       | 0  |  |
| <b>Cross-border cooperation</b>  | <b>12</b> | <b>0</b>                                   |  |
| Institutional setup of the Monitoring Subcommittee members and decision making procedure reflect the cross border cooperation environment.   | 0-3       | 0  |  |
| Institutional setup and actors involved in the evaluation process as well as the methodology of assessment reflect the cross border cooperation environment.   | 0-3       | 0  |  |
| Partnership requirements for the small projects presented in Joint Implementation Plan reflect the partnership and cross border cooperation criteria for small projects stipulated in the Call.  | 0-3       | 0  |  |
| Eligible activities to be carried out by small projects presented in Joint Implementation Plan demonstrably draw on the results of cross-border cooperation.   | 0-3       | 0  |  |
| <b>Added value and expected results</b>  | <b>9</b>  | <b>0</b>                                   |  |
| Eligible activities to be carried out by small projects are expected to fulfill the results of the relevant Priority Axes indicated in the Cooperation Programme.  | 0-3       | 0  |  |
| Simplified costs options and simplified procedures (related to small projects application phase, implementation phase and project closure) presented in Joint Implementation Plan are innovative and represent added value contributing to achieve the interest of potential small project beneficiaries.  | 0-3       | 0  |  |
| Simplified costs options and simplified procedures (related to small projects application phase, implementation phase and project closure) presented in Joint Implementation Plan are clear, adequate, feasible, fit to purpose and lead to efficient simplification and elimination of administrative burdens from the small project beneficiaries during the entire project cycle. | 0-3       | 0  |  |

|                                |   |  |  |
|--------------------------------|---|--|--|
| <b>QUALITY ASSESSMENT GRID</b> | <b>SPF Umbrella Project Proposal - SKHU/1704/</b> |  |  |
|--------------------------------|---|--|--|

|  |          |          |  |
|--|----------|----------|--|
| <b>Partnership</b>   | <b>9</b> | <b>0</b> |  |
| Rules of procedures of the Monitoring Subcommittee clearly demonstrates the involvement of relevant bodies in decision-making by respecting the multi-governance and partnership principle.  | 0-3      | 0        |  |
| Institutional setup and actors involved in the assessment is well presented in the Assessment Manual ensuring common methodology of assessment, objective and consistent approach of all actors participating in the assessment process.   | 0-3      | 0        |  |
| The role of each Umbrella Project Beneficiaries presented in the submitted documents demonstrates clear division of tasks between the Lead Beneficiary and the Beneficiaries, which is in line with the competences of the partners.   | 0-3      | 0        |  |
| <b>Sustainability of project results</b>   | <b>9</b> | <b>0</b> |  |
| The eligible activities to be carried out by the small projects presented in Joint Implementation Plan are foreseen to have significant achievements and results after their closure to sustain. Their results are expected to contribute to the strengthening of bilateral relations. | 0-3      | 0        |  |
| The legal, institutional and financial criteria for eligible small project beneficiaries are presented in Joint Implementation Plan and are foreseen to contribute to achieving sustainability of projects results.  | 0-3      | 0        |  |
| Principles for ensuring sustainability of small project results are presented in Joint Implementation Plan, are well designed, feasible and contribute to achieving sustainability of projects results.  | 0-3      | 0        |  |

**II. OPERATIONAL EVALUATION**

| Criterion   | Max.     | Score    | Comments/Explanation |
|---|----------|----------|----------------------|
| <b>Feasibility</b>  | <b>9</b> | <b>0</b> |                      |
| Methodology of assessment and evaluation process presented in Assessment Manual is well developed and detailed, the structure and sequence of activities have a clear and adequate logic, and the timing is well designed. The selection process of small projects is feasible and ensures sound selection process of small projects.   | 0-3      | 0        |                      |
| The system of Calls for the Small Project Proposals (timeframe, duration and types of the Calls) presented in the Joint Implementation Plan is well developed, feasible, fit-for-purpose and lead to efficient usage of financial resources allocated for the SPF including fulfillment of the performance framework indicators related to the SPF in the respective Priority Axis. | 0-3      | 0        |                      |
| Joint Implementation Plan presents the remedy actions to be taken in case of delays in implementation of the SPF or rising savings, the proposed remedy actions are adequate, feasible and lead to succesful and sound implementation of the Umbrella Project.  | 0-3      | 0        |                      |
| <b>Management</b>   | <b>9</b> | <b>0</b> |                      |
| Tasks and functions of the Monitoring Subcommittee presented in Rules of Procedures are well defined, relevant and necessary in order to satisfy the MSC as to the effectiveness and quality of the implementation of the SPF.  | 0-3      | 0        |                      |
| Assessment Manual clearly demonstrates division of tasks and roles of actors involved in each evaluation step of small project and in small project selection process.  | 0-3      | 0        |                      |
| The methodology of ensuring the interest and involvement of small projects in the SPF is presented in Joint Implementation Plan, is adequate, logical and leads to achievement of set objectives.   | 0-3      | 0        |                      |

| QUALITY ASSESSMENT GRID  |          | SPF Umbrella Project Proposal - SKHU/1704/ |  |
|--|----------|--|--|
| <b>Budget and finance</b>  | <b>9</b> | <b>0</b>                                   |  |
| The simplified costs options for the small projects are introduced in Joint Implementation Plan, are well defined and respect the value money principle.   | 0-3      | 0  |  |
| The simplified costs options for the small projects introduced in Joint Implementation Plan contribute to achieve a thorough simplification of accounting and reporting procedures on the level of small project beneficiaries.  | 0-3      | 0  |  |
| Principles for handling revenue generating projects and principles for monitoring of the state aid are introduced in Joint Implementation Plan, are well designed and feasible.  | 0-3      | 0  |  |
| <b>Planned activities/Schedule</b>   | <b>9</b> | <b>0</b>                                   |  |
| Rules of procedures of the Monitoring Subcommittee regulating the establishment and functions of the Monitoring Subcommittee are well developed and make the decision making body fully operational.   | 0-3      | 0  |  |
| Rules of procedures of the Monitoring Subcommittee is ensuring the timely decision making by which the fulfillment of n+3 commitment is ensured.   | 0-3      | 0  |  |
| The timing of the planned procedures and steps included in the submitted documents is ideal for the Umbrella Project implementation, activities can be implemented within the planned schedules (frequency of Monitoring Subcommittee meetings).   | 0-3      | 0  |  |
| <b>Horizontal principles</b>   | <b>4</b> | <b>0</b>                                   |  |
| Principles for respecting the horizontal principles - sustainable development, equal opportunities and non-discrimination and equality between men and women - by actions implemented in the small projects are presented in the Joint Implementation Plan and contribute to their achievement | 0-2      | 0  |  |
| Principles for respecting the specific horizontal measures defined for each relevant Priority Axis are presented in the Joint Implementation Plan.   | 0-2      | 0  |  |

**SUMMARY OF THE QUALITY ASSESSMENT**

|   |            |          |  |
|---|------------|----------|--|
| Subtotal score for strategic evaluation   | 60         | 0        |  |
| Subtotal score for operational evaluation | 40         | 0        |  |
| <b>Total score:</b>                       | <b>100</b> | <b>0</b> |  |

**Overall recommendation (mark with X to the selected recommendation):**

|  |  |
|--|--|
| Recommended for approval:                            |  |
| Recommended for approval with conditions:            |  |
| Recommended for non-approval with reasons specified: |  |

Conditions related to Rules of Procedures, if relevant:  
 -  
 -  
 -  
 ...

Conditions related to Assessment manual, if relevant:  
 -  
 -  
 -  
 ...

Conditions related to Joint Implementation Plan, if relevant:  
 -  
 -  
 -  
 ...